

檔 號：

保存年限：

## 野村證券投資信託股份有限公司 函

地址：11049臺北市信義路5段7號30樓

承辦人：賴韋婷

電話：87581577



受文者：上海商業儲蓄銀行股份有限公司信託部

發文日期：中華民國109年12月22日

發文字號：野村信字第1090000677號

速別：普通件

密等及解密條件或保密期限：

附件：中英譯股東通知書及委託書(0000677AP0\_ATTCH54.pdf、0000677AP0\_ATTCH55.pdf、0000677AP0\_ATTCH56.pdf、0000677AP0\_ATTCH57.pdf)

主旨：NN (L) 系列境外基金2021年1月21日年度股東大會通知。

說明：

- 一、本公司經金融監督管理委員會核准，擔任NN (L) 系列境外基金之總代理人，在國內募集及銷售，合先敘明。
- 二、茲函轉NN (L) 將於2021年1月21日召開年度股東大會之通知事宜，詳細議程請參閱隨函檢附之NN (L)英文版及中譯股東通知書及委託書。
- 三、請於函附之英文委託書上由有權人簽署（原留印鑑／簽名）並註明日期，中文委託書僅為方便您閱讀之翻譯本，簽署於中文委託書上將不具效力。請於2021年1月18日前E-mail至Legal Department of NN Investment Partners B.V.（電子信箱：Secretariat.Luxfunds@nnip.com）。
- 四、銷售機構暨投資人亦可於2021年1月15日前將上述之英文委託書正本郵寄至野村證券投資信託股份有限公司 基金事務部收，地址：台北市110信義路五段7號30樓。

正本：臺灣土地銀行股份有限公司、星展(台灣)商業銀行信託部(T&O-WMO)、第一商業銀行股份有限公司信託處規劃部、台中商業銀行股份有限公司、高雄銀行股份有限公司信託部、永豐商業銀行股份有限公司理財商品部、王道商業銀行股份有限





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公司財富管理部、王道商業銀行股份有限公司財富信託部、安泰商業銀行股份有限公司、玉山商業銀行股份有限公司信託部、日盛國際商業銀行股份有限公司、陽信商業銀行股份有限公司信託部、彰化商業銀行股份有限公司信託處、元大商業銀行股份有限公司、國泰世華商業銀行股份有限公司信託部(統編12163963)、遠東國際商業銀行股份有限公司投資顧問部、遠東國際商業銀行股份有限公司信託部、臺灣新光商業銀行股份有限公司(信託部)、臺灣新光商業銀行股份有限公司(財富管理部)、中國信託商業銀行股份有限公司、合作金庫商業銀行(信託部)、法商法國巴黎銀行台北分公司信託部、瑞士商瑞士銀行股份有限公司台北分公司、華南商業銀行股份有限公司、京城商業銀行股份有限公司信託部、上海商業儲蓄銀行股份有限公司信託部、板信商業銀行股份有限公司信託部、兆豐國際商業銀行股份有限公司、台新國際商業銀行股份有限公司信託部、凱基商業銀行股份有限公司、聯邦商業銀行股份有限公司財管部、臺灣中小企業銀行股份有限公司、臺灣銀行股份有限公司信託部、華泰商業銀行股份有限公司信託部、台北富邦商業銀行股份有限公司、瑞興商業銀行股份有限公司信託部、容海國際證券投資顧問股份有限公司、元大證券股份有限公司、凱基證券股份有限公司、元富證券股份有限公司、群益金鼎證券股份有限公司、核聚證券投資顧問股份有限公司、富邦綜合證券股份有限公司、日盛證券股份有限公司、華南永昌綜合證券股份有限公司、永豐金證券股份有限公司、柏瑞證券投資信託股份有限公司、統一綜合證券股份有限公司、基富通證券股份有限公司、中國人壽保險股份有限公司、元大人壽保險股份有限公司、全球人壽保險股份有限公司、法商法國巴黎人壽保險股份有限公司台灣分公司、南山人壽保險股份有限公司、英屬百慕達商安達人壽保險股份有限公司台灣分公司、國泰人壽保險股份有限公司、國際康健人壽保險股份有限公司、第一金人壽保險股份有限公司、富邦人壽保險股份有限公司、合作金庫人壽保險股份有限公司、安聯人壽保險股份有限公司、台灣人壽保險股份有限公司、三商美邦人壽保險股份有限公司、保誠人壽保險股份有限公司、新光人壽保險股份有限公司、元大證券投資信託股份有限公司、永豐證券投資信託股份有限公司、日盛證券投資信託股份有限公司、群益證券投資信託股份有限公司、合作金庫證券投資信託股份有限公司、安聯證券投資信託股份有限公司、復華證券投資信託股份有限公司、富蘭克林華美證券投資信託股份有限公司、聯邦證券投資信託股份有限公司、保德信證券投資信託股份有限公司、統一證券投資信託股份有限公司、華南永昌證券投資信託股份有限公司、國泰證券投資信託股份有限公司、凱基證券投資信託股份有限公司、第一金證券投資信託股份有限公司、瀚亞證券投資信託股份有限公司、安睿宏觀證券投資顧問股份有限公司、三信商業銀行股份有限公司(財管部)、三信商業銀行股份有限公司(信託部)、鉅亨證券投資顧問股份有限公司、中租證券投資顧問股份有限公司、遠智證券股份有限公司

副本：

2020/12/23  
08:09:18

(註：本中譯文僅供參考，如與原文有異，應以原文為主)

**NN (L)**

Société d'investissement à capital variable  
Registered office: 80, Route d'Esch, L-1470 Luxembourg  
Grand-Duchy of Luxembourg  
R.C.S. Luxembourg, B 44 873  
(the « Company »)

**NN (L)**

可變資本投資公司  
登記住址：80, Route d'Esch, L-1470 Luxembourg  
盧森堡大公國  
盧森堡商業和公司註冊處號碼：B 44 873  
(下稱「本公司」)

Notice is hereby given that the:  
茲通知：

**ANNUAL GENERAL MEETING**  
年度股東大會

of NN (L) will be held at 2, rue Gerhard Mercator, L-1014 Luxembourg, Grand Duchy of Luxembourg on 21 January 2021 at 2.00 p.m. with the following Agenda

NN (L)將於 2021 年 1 月 21 日下午 2 時整在登記住址：2, rue Gerhard Mercator, L-1014 Luxembourg, 盧森堡大公國舉行，以討論下列議程事項：

1. Presentation of the reports of the board of directors and the independent auditor;  
提出董事會及獨立稽核之報告
2. Approval of the annual accounts for the financial year ended 30 September 2020;  
承認截至 2020 年 9 月 30 日會計年度止之年度會計帳目
3. Allocation of the result for the financial year ended 30 September 2020;  
分配截至 2020 年 9 月 30 日會計年度止之財務年度成果
4. Discharge to the directors for the execution of their mandates during the financial year ended 30 September 2020;  
解除董事截至 2020 年 9 月 30 日會計年度止之期間內所執行委任事項之責任
5. Approval of director fees;  
承認董事費
6. Statutory appointments: resignation(s) and/or mandate(s) renewal.  
法定指派：含辭任及/或續任

Registered shareholders will be admitted upon proof of their identity, provided they inform the Board of Directors of their intention to attend the meeting at least five calendar days prior to the meeting.  
記名股東於證明其身分後將獲許與會，惟其至少應於會前 5 日通知董事會其參加之意願。

The majority at the annual general meeting shall be determined according to the shares issued and outstanding at midnight (Luxembourg time) five calendar days prior to the annual general meeting.  
參與年度股東大會之資格應依會前 5 日午夜前(盧森堡時間)流通在外之單位數持有人方有資格。

A copy of the annual report, including the independent auditor report can be obtained per request to the Legal Department of NN Investment Partners B.V., Schenkade 65, The Hague 2595 AS, The Netherlands (email address: [Secretariat.Luxfunds@nnip.com](mailto:Secretariat.Luxfunds@nnip.com))

年報影本，包含獨立稽核報告可向位於 Schenkade 65, The Hague 2595 AS, The Netherlands (電子信箱地址：[Secretariat.Luxfunds@nnip.com](mailto:Secretariat.Luxfunds@nnip.com))之 NN Investment Partners B.V.法務部取得。

The Board of Directors of NN (L)  
NN (L) 董事會

NN (L)  
Société d'investissement à capital variable  
Registered office: 80, Route d'Esch, L-1470 Luxembourg  
Grand-Duchy of Luxembourg  
R.C.S. Luxembourg, B 44 873



NN (L)  
可變資本投資公司 (SICAV)  
登記住址: 80, Route d'Esch, L-1470 Luxembourg  
盧森堡大公國  
盧森堡商業和公司註冊處號碼: B 44 873

**FORM OF PROXY FOR USE AT THE ANNUAL GENERAL MEETING OF SHAREHOLDERS  
OF NN (L) TO BE HELD ON 21 JANUARY 2021 AT 2.00 P.M. LUXEMBOURG TIME**

**NN (L) 股東於盧森堡時間2021年1月21日下午2時所舉行之  
年度股東大會使用之委託書表格**

PLEASE COMPLETE IN BLOCK CAPITAL LETTERS

請以大寫粗體字填寫

I/We 本人/我們	First Name(s) 名	Last Name 姓	Account Number 帳戶號碼
First holder: 第一持有人	_____	_____	_____
Second holder: (if applicable) 第二持有人 (如適用)	_____	_____	_____

(IF THERE ARE MORE THAN TWO JOINT SHAREHOLDERS, ATTACH THE OTHER NAMES IN FULL)  
(如有超過二名以上之共同持有股東，請檢附其他股東全名)

holder(s) of \_\_\_\_\_ (number of) shares<sup>1</sup> of \_\_\_\_\_ sub-fund \_\_\_\_\_  
of NN (L) (the "Company") hereby appoint(s) the Chairman of the Annual General Meeting of Shareholders (the "Meeting") of  
the Company or  
(insert name of representative:) \_\_\_\_\_

as my/our proxy to vote for me/us and on my/our behalf on the resolutions on the agenda of the Meeting to be held at 2, rue  
Gerhard Mercator, L-1014 Luxembourg, Grand Duchy of Luxembourg, on 21 January 2021 at 2.00 p.m. (Luxembourg time)  
為NN (L) (下稱「本公司」)之子基金\_\_\_\_\_達\_\_\_\_\_股(數量)<sup>1</sup>之持有人，茲指派本公  
司年度股東大會(下稱「大會」)之主席，或\_\_\_\_\_ (填入代表人姓名)擔任本人之代理人，以代表本人/我  
們於盧森堡時間2021年1月21日下午2時整在2, rue Gerhard Mercator, L-1014 Luxembourg, 盧森堡大公國舉行之年度股東  
大會，對會議之議程進行表決。

If you have appointed the Chairman as your proxy, please indicate with an 'X' in the boxes below how you wish your votes to  
be cast on each of the resolutions on the agenda of the Meeting<sup>2</sup>. If you have appointed another representative, he or she will  
be entitled to attend the Meeting and to vote on your behalf according to your instructions on the resolutions on the agenda of  
the Meeting and on any other business as may properly come before the Meeting.

若您已指派主席為您的代理人，請以「X」之符號於下表指明就大會之個別議案您希望如何投票<sup>2</sup>。若您已指派其他人為  
您的代表人，其將有權參加大會並就大會之議案及其他大會前可能發生之事務依您的指示代表您進行投票。

<sup>1</sup> Please insert total number of shares held in the relevant sub-fund. If you hold shares in more than one sub-fund, please list all your holdings  
on the reverse side of this form of proxy.

請填入持有之相關子基金之總股數。若您持有超過一子基金之股份，請於本委託書表格背面明列您所有之持股。

<sup>2</sup> If the boxes are left blank, the proxy will be considered as giving a general mandate to the Chairman of the Meeting to vote on the  
resolutions.

如該欄位留白，則本委託書將被視為就決議事項全權委託大會主席進行投票表決。

NN (L)  
Société d'investissement à capital variable  
Registered office: 80, Route d'Esch, L-1470 Luxembourg  
Grand-Duchy of Luxembourg  
R.C.S. Luxembourg, B 44 873



**NN investment  
partners**

NN (L)  
可變資本投資公司 (SICAV)  
登記住址: 80, Route d'Esch, L-1470 Luxembourg  
盧森堡大公國  
盧森堡商業和公司註冊處號碼: B 44 873

AGENDA 議程	For 贊成	Against 反對	Abstain 棄權
1. Presentation of the report of the board of directors and of the independent auditor 提出董事及獨立稽核之報告			
2. Approval of the annual accounts for the financial year ended 30 September 2020 承認截至2020年9月30日會計年度止之年度會計帳目			
3. Allocation of the result for the financial year ended 30 September 2020 分配截至2020年9月30日會計年度止之財務年度成果			
4. Discharge to the directors for the execution of their mandates during the financial year ended 30 September 2020 解除董事截至2020年9月30日會計年度止之期間內所執行委任事項之責任			
5. Approval of director fees 承認董事費			
6. Statutory appointments: resignation(s) and/or mandate(s) renewal 法定指派: 含辭任及/或續任			

Date: \_\_\_\_\_  
日期  
Signature(s): \_\_\_\_\_  
簽名

Without specific instruction in writing to the Legal Department (see below for contact details), any valid proxy which was returned for attendance at the Meeting will remain valid in case of another shareholders' meeting of the Company with the same agenda (referred to as "Adjourned General Meeting") if the conditions for its validity are still met at the Adjourned General Meeting. A valid new proxy returned on time for the Adjourned General Meeting shall be deemed to constitute a revocation of any proxy returned with respect to the Meeting.

若未以書面向法務部門(聯繫方式詳參下述)進行明確指示,任何為出席會議而回傳之有效委託書,於本公司其他議程相同之股東會(稱為「續行股東會」)仍然有效,惟其須於續行股東會時仍符合有效之條件。為續行股東會而於期限內回傳之新的有效委託書,應視撤回就會議回傳之任何委託書。

**Proxy form to return to the Legal Department of NN Investment Partners B.V. no later than by 18 January 2021, 05:00 p.m. Luxembourg time, by email (email address: [Secretariat.Luxfunds@nnip.com](mailto:Secretariat.Luxfunds@nnip.com)).**

委託書表格請至遲於盧森堡時間2021年1月18日下午5時前email(email address: [Secretariat.Luxfunds@nnip.com](mailto:Secretariat.Luxfunds@nnip.com))至NN Investment Partners B.V.之法務部門。

**NN (L)**  
*Société d'investissement à capital variable*  
Registered office: 80, Route d'Esch, L-1470 Luxembourg  
Grand-Duchy of Luxembourg  
R.C.S. Luxembourg: B 44 873  
(the « **Company** »)

Notice is hereby given that the:

**Annual General Meeting of Shareholders**

of **NN (L)** will be held at 2 rue Gerhard Mercator, L-1014 Luxembourg, Grand Duchy of Luxembourg on 21 January 2021 at 02.00 p.m. with the following Agenda:

**Agenda**

1. Presentation of the reports of the board of directors and of the independent auditor;
2. Approval of the annual accounts for the financial year ended 30 September 2020;
3. Allocation of the result for the financial year ended 30 September 2020;
4. Discharge to the directors for the execution of their mandates during the financial year ended 30 September 2020;
5. Approval of director fees;
6. Statutory appointments: resignation(s) and/or mandate(s) renewal.

Registered shareholders will be admitted upon proof of their identity, provided they inform the Board of Directors of their intention to attend the meeting at least five calendar days prior to the meeting.

The majority at the annual general meeting shall be determined according to the shares issued and outstanding at midnight (Luxembourg time) five calendar days prior to the annual general meeting.

A copy of the annual report, including the independent auditor report can be obtained per request to the Legal Department of NN Investment Partners B.V., Schenkade 65, The Hague 2595 AS, The Netherlands (email address: Secretariat.Luxfunds@nnip.com).

The Board of Directors of NN (L)

NN (L)  
 Société d'investissement à capital variable  
 Registered office: 80, Route d'Esch, L-1470 Luxembourg  
 Grand-Duchy of Luxembourg  
 R.C.S. Luxembourg: B 44 873

**FORM OF PROXY FOR USE AT THE ANNUAL GENERAL MEETING OF SHAREHOLDERS  
 OF NN (L) TO BE HELD ON 21 JANUARY 2021 AT 02.00 P.M. LUXEMBOURG TIME**

PLEASE COMPLETE IN BLOCK CAPITAL LETTERS

I/We \_\_\_\_\_ First Name(s) \_\_\_\_\_ Last Name \_\_\_\_\_ Account Number \_\_\_\_\_

First holder: \_\_\_\_\_

Second holder: \_\_\_\_\_  
 (if applicable)

(IF THERE ARE MORE THAN TWO JOINT SHAREHOLDERS, ATTACH THE OTHER NAMES IN FULL)

holder(s) of \_\_\_\_\_ (number of) shares<sup>1</sup> of sub-fund \_\_\_\_\_ of NN (L) (the "Company") hereby appoint(s) the Chairman of the Annual General Meeting of Shareholders (the "Meeting") of the Company or  
 (insert name of representative:) \_\_\_\_\_

as my/our proxy to vote for me/us and on my/our behalf on the resolutions on the agenda of the Meeting to be held at 2 rue Gerhard Mercator, L-1014 Luxembourg, Grand Duchy of Luxembourg on 21 January 2021 at 02.00 p.m. (Luxembourg time).

If you have appointed the Chairman as your proxy, please indicate with an 'X' in the boxes below how you wish your votes to be cast on each of the resolutions on the agenda of the Meeting<sup>2</sup>. If you have appointed another representative, he or she will be entitled to attend the Meeting and to vote on your behalf according to your instructions on the resolutions on the agenda of the Meeting and on any other business as may properly come before the Meeting.

AGENDA	For	Against	Abstain
1. Presentation of the reports of the board of directors and of the independent auditor			
2. Approval of the annual accounts for the financial year ended 30 September 2020			
3. Allocation of the result for the financial year ended 30 September 2020			
4. Discharge to the directors for the execution of their mandates during the financial year ended 30 September 2020			
5. Approval of director fees			
6. Statutory appointments: resignation(s) and/or mandate(s) renewal			

Date: \_\_\_\_\_

Signature(s): \_\_\_\_\_

Without specific instruction in writing to the Legal Department (see below for contact details), any valid proxy which was returned for attendance at the Meeting will remain valid in case of another shareholders' meeting of the Company with the same agenda (referred to as "Adjourned General Meeting") if the conditions for its validity are still met at the Adjourned General Meeting. A valid new proxy returned on time for the Adjourned General Meeting shall be deemed to constitute a revocation of any proxy returned with respect to the Meeting.

Proxy form to return to the Legal Department of NN Investment Partners B.V. no later than by 18 January 2021, 05:00 p.m. Luxembourg time, by email (email address: [Secretariat.Luxfunds@nnip.com](mailto:Secretariat.Luxfunds@nnip.com)).

<sup>1</sup> Please insert total number of shares held in the relevant sub-fund. If you hold shares in more than one sub-fund, please list all your holdings on the reverse side of this form of proxy.

<sup>2</sup> If the boxes are left blank, the proxy will be considered as giving a general mandate to the Chairman of the Meeting to vote on the resolutions.