

# 駿利亨德森證券投資顧問股份有限公司 函

聯絡電話:(02)2171-1683

受文者：上海商業儲蓄銀行股份有限公司

發文日期：中華民國 109 年 9 月 10 日

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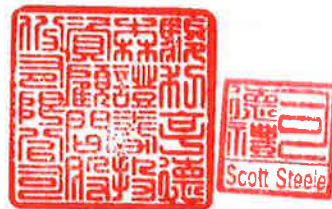
主旨：2020 年駿利亨德森遠見系列基金年度股東常會通知，詳如說明。

說明：

- 一、本公司總代理之駿利亨德森遠見基金系列(「基金公司」)將於 2020 年 10 月 22 日上午 11 時(盧森堡時間)舉行年度股東常會，隨函檢附基金公司之年度股東常會會議通知，請查照。
- 二、謹請貴公司於收到該通知文件後，依台灣相關法令規定，將該訊息轉知貴公司所屬之投資人並代表投資人投票。
- 三、如貴公司未能親自出席年度股東常會，可利用附件英文版本之「年度股東常會委託書表格」代表投資人投票，填妥之英文版本「年度股東常會委託書表格」正本須於 2020 年 10 月 20 日上午 11 時(盧森堡時間)前，寄達於駿利亨德森遠見基金(Janus Henderson Horizon Fund)，地址請詳附件之委託書表格。
- 四、煩請貴公司轉知相關單位協助處理，敬請查照。

附件：年度股東常會會議通知及其中譯文

駿利亨德森證券投資顧問股份有限公司





(中譯文僅供參考，如與英文原文有任何歧異，應以英文為準。)

(節譯文)

**駿利亨德森遠見基金**

可變資本投資公司

2 Rue de Bitbourg L-1273 Luxembourg

B22847

**年度股東常會會議通知**

謹通知駿利亨德森遠見基金股東，駿利亨德森遠見基金之年度常會將在 2020 年 10 月 22 日星期四上午 11 點（盧森堡時間），於其註冊辦公室（盧森堡 2 Rue de Bitbourg L-1273）舉行，以討論並表決下列議案：

**議程**

1. 同意董事及獨立簽證會計師就截至 2020 年 6 月 30 日為止之年度帳目出具之報告。
2. 同意截至 2020 年 6 月 30 日經查核之年度帳目。
3. 淨收益分派。
4. 董事提議之股利分派（若有）。
5. 解除董事執行委任職務時產生之責任。
6. 重新選任 Les Aitkenhead、Kevin Adams、Jean-Claude Wolter、Joanna Dentskevich、並選任 Matteo Candolini 及 Ian Dyble 為董事會成員。
7. 同意獨立董事 Les Aitkenhead（董事會主席）、Kevin Adams、Jean-Claude Wolter 以及 Joanna Dentskevich（董事們）之報酬。
8. 重新選任 PricewaterhouseCoopers, Société coopérative 為本公司之簽證會計師。
9. 同意 Steven de Vries 於 2019 年 12 月 31 日終止與駿利亨德森集團之聘僱關係後在 2020 年 1 月 1 日至 2020 年 5 月 5 日期間擔任臨時之非執行董事之報酬。
10. 其他事項。

**董事會**

備註：

所有股東有權出席或委託出席上開會議。股東有權指定一個或一個以上之受託人代表其出席並表決。委託書持有人不須為股東。為使其有效，委託書應於指定之會議時間前至少 48 小時提交予駿利亨德森遠見基金 (Janus Henderson Horizon Fund)，由公司秘書 (c/o Company Secretary) 轉交，地址為 2 Rue de Bitbourg L-1273 Luxembourg。

股東得自駿利亨德森基金註冊辦公室、代表人及經銷商辦公室，以及 [www.janushenderson.com](http://www.janushenderson.com) (以電子方式) 取得本公司經查核之年度報告及帳目。

**駿利亨德森遠見基金**

註冊辦公室：2 Rue de Bitbourg L-1273 Luxembourg, Grand Duchy of Luxembourg  
[janushenderson.com](http://janushenderson.com)

(中譯文僅供參考，如與英文原文有任何歧異，應以英文為準。)

(節譯文)

駿利亨德森遠見基金 (「本公司」)

委託書表格

請回擲予駿利亨德森遠見基金(Janus Henderson Horizon Fund)，由公司秘書(c/o Company Secretary)轉交，地址為 2 Rue de Bitbourg L-1273 Luxembourg(或另行使用預付郵件之方式為之)，並於指定之會議時間前至少 48 小時送達。

本人/我們，即下述簽署人

\_\_\_\_\_ 位於 \_\_\_\_\_  
(姓名) (住址/公司地址)

\_\_\_\_\_ 股數  
持有人

\_\_\_\_\_ 子基金

茲委託 \_\_\_\_\_\*/或會議主席，於 2020 年 10 月 22 日星期四上午 11 點 (盧森堡時間) 召開之本公司年度常會中，代理本人/我們，並為本人/我們投票，並於會議延期而需討論年度常會通知所載議程之時，對該議程所及之任何或所有相關議案進行考量，及以簽署人名義並代簽署人投票。

\*若您有意委託主席以外之第三人，請填寫本欄位。若您希望選擇委託主席，則毋須填寫本欄位。請勿填入您的姓名。

年度常會

議程

	同意	反對
1. 同意董事及獨立簽證會計師就截至 2020 年 6 月 30 日為止之年度帳目 出具之報告	<input type="checkbox"/>	<input type="checkbox"/>
2. 同意截至 2020 年 6 月 30 日經查核之年度帳目	<input type="checkbox"/>	<input type="checkbox"/>
3. 淨收益分派	<input type="checkbox"/>	<input type="checkbox"/>
4. 董事提議之股利分派 (若有)	<input type="checkbox"/>	<input type="checkbox"/>
5. 解除董事執行委任職務時產生之責任	<input type="checkbox"/>	<input type="checkbox"/>

(中譯文僅供參考，如與英文原文有任何歧異，應以英文為準。)

6. 重新選任/選任董事會

- (a) 重新選任 Les Aitkenhead (為獨立董事)
- (b) 重新選任 Kevin Adams (為獨立董事)
- (c) 重新選任 Jean-Claude Wolter (為獨立董事)
- (d) 重新選任 Joanna Dentskevich (為獨立董事)
- (e) 選任 Matteo Candolini
- (f) 選任 Ian Dyble

<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

7. 同意獨立董事之報酬：

- (a) 同意 Les Aitkenhead (主席) 每年總報酬為 38,500 歐元
- (b) 同意 Kevin Adams 每年總報酬為 33,500 歐元
- (c) 同意 Jean-Claude Wolter 每年總報酬為 33,500 歐元
- (d) 同意 Joanna Dentskevich 每年總報酬為 33,500 歐元

<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

8. 重新選任 PricewaterhouseCoopers Société Cooperative 為本公司之  
簽證會計師

<input type="checkbox"/>	<input type="checkbox"/>
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9. 同意 Steven de Vries 於 2019 年 12 月 31 日終止與駿利亨德森集  
團之聘僱關係後在 2020 年 1 月 1 日至 2020 年 5 月 5 日期間擔任  
臨時非執行董事之總報酬為 11,200 歐元。

<input type="checkbox"/>	<input type="checkbox"/>
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10. 其他事項

<input type="checkbox"/>	<input type="checkbox"/>
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備註：

簽署人知悉本會議通過有效決議並無出席法定人數限制。出席或被代表出席股東之簡單多數決即得通過決議。  
若無特定之投票指示，任何經簽署之年度常會委託書應就董事會提案投以贊成票。

經本人/多人於 2020 年\_\_\_\_月\_\_\_\_日簽署

簽署\_\_\_\_\_

(中譯文僅供參考，如與英文原文有任何歧異，應以英文為準。)

**JANUS HENDERSON HORIZON FUND**

Société d'investissement à capital variable  
2 Rue de Bitbourg L-1273 Luxembourg  
B22847

**NOTICE OF MEETING OF ANNUAL GENERAL MEETING OF  
SHAREHOLDERS**

NOTICE is hereby given to shareholders of Janus Henderson Horizon Fund at the ANNUAL GENERAL MEETING of Janus Henderson Horizon Fund will be held at its registered office at 2 Rue de Bitbourg L-1273 Luxembourg at 11 am (Luxembourg time) on Thursday, 22nd of October 2020 for the purpose of considering and voting upon the following matters:

**AGENDA**

1. Approval of the Reports of the Directors and of the Independent Auditor on the annual accounts for the period ended 30<sup>th</sup> June 2020.
2. Approval of the audited annual accounts at 30<sup>th</sup> June 2020.
3. Allocation of net results.
4. Distribution of dividends (if any) as recommended by the Directors.
5. Discharge of liabilities to the Directors for the exercise of their mandate.
6. Re-election of Les Aitkenhead, Kevin Adams, Jean-Claude Wolter, Joanna Dentskevich, and election of Matteo Candolini and Ian Dyble to the Board of Directors.
7. Approval of the remuneration of the Independent Directors, Les Aitkenhead (as Chairman of the board), Kevin Adams, Jean-Claude Wolter, and Joanna Dentskevich (as Directors).
8. Re-election of PricewaterhouseCoopers, Société coopérative as the Statutory Auditor of the Company.
9. Approval of the remuneration to Steven de Vries for his services as an interim non-executive director for the period from 1st January 2020 to 5th May 2020 following his termination of employment with Janus Henderson Group on 31st December 2019.
10. Miscellaneous.

**The Board of Directors**

Notes:

**Janus Henderson Horizon Fund**

Registered Office: 2 Rue de Bitbourg, L-1273 Luxembourg, Grand Duchy of Luxembourg  
[janushenderson.com](http://janushenderson.com)

(中譯文僅供參考，如與英文原文有任何歧異，應以英文為準。)

All shareholders are entitled to attend or be represented at the above Meeting. A shareholder is entitled to appoint one or more proxies to attend and vote in his place. A proxy holder is not required to be a shareholder. To be valid, the Form of Proxy must be lodged at Janus Henderson Horizon Fund, c/o Company Secretary, 2 Rue de Bitbourg, L-1273 Grand Duchy of Luxembourg not less than 48 hours before the time appointed for the Meeting.

The audited Annual Report and Accounts of the Company may be obtained from the registered office of Janus Henderson Horizon Fund, at the offices of the representatives and distributors and electronically at [www.janushenderson.com](http://www.janushenderson.com).

(中譯文僅供參考，如與英文原文有任何歧異，應以英文為準。)

**Janus Henderson Horizon Fund (the “Company”)**

**Form of Proxy**

Please return to Janus Henderson Horizon Fund, c/o Company Secretary, 2 Rue de Bitbourg, L-1273 Grand Duchy of Luxembourg (or alternatively use the pre-paid envelope) to arrive not less than 48 hours before the time appointed for the Meeting.

I/We, the undersigned

\_\_\_\_\_ of \_\_\_\_\_  
(Name) (Residing at / registered office at)

\_\_\_\_\_ number of shares  
Being the holder(s) of

\_\_\_\_\_ of the sub-fund (s)

hereby appoint \_\_\_\_\_\*/ or the Chairman of the Meeting to vote for me/us on my/our behalf at the Annual General Meeting of the Company to be held on **Thursday 22nd of October 2020 at 11 am (Luxembourg time)** and at any adjournment thereof which shall consider the Agenda as shown in the Notice of Annual General Meeting, there to consider and, in the name and on behalf of the undersigned, to vote on any and all matters relative to the Agenda hereunder mentioned.

\* Please complete this space only if you wish to appoint a third party proxy other than the Chairman. Please leave this blank if you want to select the Chairman. Do not insert your own name(s).

**Annual General Meeting**

**Agenda**

	<b>FOR</b>	<b>AGAINST</b>
1. Approval of the Reports of the Directors and of the Independent Auditor on the annual accounts for the period ended 30 <sup>th</sup> June 2020.	<input type="checkbox"/>	<input type="checkbox"/>
2. Approval of the audited annual accounts for the year ended 30 <sup>th</sup> June 2020.	<input type="checkbox"/>	<input type="checkbox"/>
3. Allocation of net results.	<input type="checkbox"/>	<input type="checkbox"/>
4. Distribution of dividends (if any) as recommended by the Directors.	<input type="checkbox"/>	<input type="checkbox"/>
5. Discharge of liabilities to the Directors for the exercise of their mandate.	<input type="checkbox"/>	<input type="checkbox"/>
6. Re-election/election of the Board of Directors:		
a) Re-election of Les Aitkenhead (as an Independent Director)	<input type="checkbox"/>	<input type="checkbox"/>
b) Re-election of Kevin Adams (as an Independent Director)	<input type="checkbox"/>	<input type="checkbox"/>
c) Re-election of Jean-Claude Wolter (as an Independent Director)	<input type="checkbox"/>	<input type="checkbox"/>
d) Re-election of Joanna Dentskevich (as an Independent Director)	<input type="checkbox"/>	<input type="checkbox"/>
e) Election of Matteo Candolfini	<input type="checkbox"/>	<input type="checkbox"/>



(中譯文僅供參考，如與英文原文有任何歧異，應以英文為準。)

- |  |                          |                          |
|--|--------------------------|--------------------------|
| f) Election of Ian Dyble   | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. Approval of the remuneration of the Independent Directors:  | <input type="checkbox"/> | <input type="checkbox"/> |
| a) Approval of the remuneration of €38,500 gross per annum for Les Aitkenhead (Chairman).  |                          |                          |
| b) Approval of the remuneration of €33,500 gross per annum for Kevin Adams.  | <input type="checkbox"/> | <input type="checkbox"/> |
| c) Approval of the remuneration of €33,500 gross per annum for Jean-Claude Wolter.   | <input type="checkbox"/> | <input type="checkbox"/> |
| d) Approval of the remuneration of €33,500 gross per annum for Joanna Dentskevich  | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. Re-election of PricewaterhouseCoopers Société Cooperative as the Statutory Auditor of the Company.  | <input type="checkbox"/> | <input type="checkbox"/> |
| 9. Approval of the remuneration of Steven de Vries for his services as an interim non-executive director for the amount of gross EUR 11,200 for the period from 1st January 2020 to 5th May 2020 following his termination of employment with Janus Henderson Group on 31st December 2019. | <input type="checkbox"/> | <input type="checkbox"/> |
| 10. Miscellaneous.   | <input type="checkbox"/> | <input type="checkbox"/> |

**Notes:**

The undersigned is aware that no quorum is needed for the Meeting to pass a valid resolution. Resolutions will be passed by a simple majority of the shareholders present or represented.

In case no specific voting instructions are given, any signed proxy for the AGM shall be voted in favour of the proposals made by the Board of Directors.

As witness my/our hand (s) this \_\_\_\_ day of \_\_\_\_\_ 2020.

Signature \_\_\_\_\_

