

檔 號：

保存年限：

野村證券投資信託股份有限公司 函

地址：11049臺北市信義路5段7號30樓

承辦人：黃久俐

電話：87581574

受文者：上海商業儲蓄銀行股份有限公司信託部

發文日期：中華民國108年12月24日

發文字號：野村信字第1080000985號

速別：普通件

密等及解密條件或保密期限：

附件：中英譯股東通知書及委託書(0000985AP0_ATTCH42. pdf、0000985AP0_ATTCH43. pdf、0000985AP0_ATTCH40. pdf、0000985AP0_ATTCH41. pdf)

主旨：NN (L) 系列境外基金2020年1月23日年度股東大會通知。

說明：

一、本公司經金融監督管理委員會核准，擔任NN (L) 系列境外基金之總代理人，在國內募集及銷售，合先敘明。

二、茲函轉NN (L) 將於2020年1月23日召開年度股東大會，詳細議程請參閱隨函檢附之NN (L) 中、英譯股東通知書及委託書。

三、請在隨附之中英譯委託書上由有權人簽署（原留印鑑／簽名）並註明日期，於2020年1月20日前E-mail至Secretariat.Luxfunds@nnip.com NN Investment Partners Luxembourg B.V之法務部門，再以平信郵寄委託書正本至：Schenkkade 65, The Hague 2595 AS, The Netherlands。

四、銷售機構暨投資人亦可於2020年1月17日前將上述之委託書正本郵寄至野村證券投資信託股份有限公司 基金事務部收，地址：台北市110信義路五段7號30樓。

正本：臺灣土地銀行股份有限公司、星展(台灣)商業銀行信託部(T&O-WMO)、第一商業銀行股份有限公司信託處規劃部、台中商業銀行股份有限公司、高雄銀行股份有限公司信託部、永豐商業銀行股份有限公司理財商品部、王道商業銀行股份有限公司財富管理部、王道商業銀行股份有限公司財富信託部、安泰商業銀行股份有限公司、玉山商業銀行股份有限公司信託部、日盛國際商業銀行股份有限公司、

陽信商業銀行股份有限公司信託部、彰化商業銀行股份有限公司信託處、元大商業銀行股份有限公司、國泰世華商業銀行股份有限公司信託部(統編12163963)、遠東國際商業銀行股份有限公司投資顧問部、遠東國際商業銀行股份有限公司信託部、臺灣新光商業銀行股份有限公司(信託部)、臺灣新光商業銀行股份有限公司(財富管理部)、中國信託商業銀行股份有限公司、合作金庫商業銀行(信託部)、法商法國巴黎銀行台北分公司信託部、瑞士商瑞士銀行股份有限公司台北分公司、華南商業銀行股份有限公司、京城商業銀行股份有限公司信託部、上海商業儲蓄銀行股份有限公司信託部、板信商業銀行股份有限公司信託部、兆豐國際商業銀行股份有限公司、台新國際商業銀行股份有限公司信託部、凱基商業銀行股份有限公司、聯邦商業銀行股份有限公司財管部、臺灣中小企業銀行股份有限公司、臺灣銀行股份有限公司信託部、華泰商業銀行股份有限公司信託部、台北富邦商業銀行股份有限公司、瑞興商業銀行股份有限公司信託部、容海國際證券投資顧問股份有限公司、元大證券股份有限公司、遠智證券股份有限公司、先鋒證券投資顧問股份有限公司、凱基證券股份有限公司、元富證券股份有限公司、群益金鼎證券股份有限公司、核聚證券投資顧問股份有限公司、富邦綜合證券股份有限公司、日盛證券股份有限公司、華南永昌綜合證券股份有限公司、永豐金證券股份有限公司、柏瑞證券投資信託股份有限公司、統一綜合證券股份有限公司、基富通證券股份有限公司、中國人壽保險股份有限公司、元大人壽保險股份有限公司、全球人壽保險股份有限公司、法商法國巴黎人壽保險股份有限公司台灣分公司、南山人壽保險股份有限公司、英屬百慕達商安達人壽保險股份有限公司台灣分公司、國泰人壽保險股份有限公司、國際康健人壽保險股份有限公司、第一金人壽保險股份有限公司、富邦人壽保險股份有限公司、合作金庫人壽保險股份有限公司、安聯人壽保險股份有限公司、台灣人壽保險股份有限公司、宏泰人壽保險股份有限公司、三商美邦人壽保險股份有限公司、保誠人壽保險股份有限公司、新光人壽保險股份有限公司、元大證券投資信託股份有限公司、永豐證券投資信託股份有限公司、日盛證券投資信託股份有限公司、群益證券投資信託股份有限公司、富邦證券投資信託股份有限公司、德信證券投資信託股份有限公司、合作金庫證券投資信託股份有限公司、安聯證券投資信託股份有限公司、復華證券投資信託股份有限公司、富蘭克林華美證券投資信託股份有限公司、聯邦證券投資信託股份有限公司、保德信證券投資信託股份有限公司、統一證券投資信託股份有限公司、華南永昌證券投資信託股份有限公司、中國信託證券投資信託股份有限公司、國泰證券投資信託股份有限公司、凱基證券投資信託股份有限公司、台新證券投資信託股份有限公司、第一金證券投資信託股份有限公司、新光產物保險股份有限公司國際保險業務分公司、瀚亞證券投資信託股份有限公司、安睿宏觀證券投資顧問股份有限公司、鋒裕匯理證券投資信託股份有限公司、三信商業銀行股份有限公司(財管部)、三信商業銀行股份有限公司(信託部)、鉅亨證券投資顧問股份有限公司

副本：

2018/12/24
交 15:35:00 章

NN (L)
Société d'investissement à capital variable
Registered office: 80, Route d'Esch, L-1470 Luxembourg
Grand-Duchy of Luxembourg
R.C.S. Luxembourg, B 44 873



NN (L)
可變資本投資公司 (SICAV)
登記住址: 80, Route d'Esch, L-1470 Luxembourg
盧森堡大公國
盧森堡商業和公司註冊處號碼: B 44 873

**FORM OF PROXY FOR USE AT THE ANNUAL GENERAL MEETING OF SHAREHOLDERS
OF NN (L) TO BE HELD ON 23 January 2020 AT 2.00 p.m. LUXEMBOURG TIME**

**NN (L) 股東於盧森堡時間2020年1月23日下午2時所舉行之
年度股東大會使用之委託書表格**

PLEASE COMPLETE IN BLOCK CAPITAL LETTERS
請以大寫粗體字填寫

I/We 本人/我們	First Name(s) 名	Last Name 姓	Account Number 帳戶號碼
First holder: 第一持有人	_____	_____	_____
Second holder: (if applicable) 第二持有人 (如適用)	_____	_____	_____

(IF THERE ARE MORE THAN TWO JOINT SHAREHOLDERS, ATTACH THE OTHER NAMES IN FULL)
(如有超過二名以上之共同持有股東，請檢附其他股東全名)

holder(s) of _____ (number of) shares¹ of _____ sub-fund _____
of NN (L) (the "Company") hereby appoint the Chairman of the Annual General Meeting of Shareholders (the "Meeting") of the
Company or

(insert name of representative:) _____

as my/our proxy to vote for me/us and on my/our behalf on the resolutions on the agenda of the Meeting to be held at 2, rue
Gerhard Mercator, L-1014 Luxembourg, Grand Duchy of Luxembourg, on 23 January 2020 at 2.00 p.m. (Luxembourg time)
為NN (L) (下稱「本公司」)之子基金_____達_____股(數量)¹之持有人，茲指派本公
司年度股東大會(下稱「大會」)之主席，或_____ (填入代表人姓名)擔任本人之代理人，以代表本人/我
們於盧森堡時間2020年1月23日下午2時整在2, rue Gerhard Mercator, L-1014 Luxembourg, 盧森堡大公國舉行之年度股東
大會，對會議之議程進行表決。

If you have appointed the Chairman as your proxy, please indicate with an 'X' in the boxes below how you wish your votes to
be cast on each of the resolutions on the agenda of the Meeting². If you have appointed another representative, he or she will
be entitled to attend the Meeting and to vote on your behalf according to your instructions on the resolutions on the agenda of
the Meeting and on any other business as may properly come before the Meeting.

若您已指派主席為您的代理人，請以「X」之符號於下表指明就大會之個別議案您希望如何投票²。若您已指派其他人為
您的代表人，其將有權參加大會並就大會之議案及其他大會前可能發生之事務依您的指示代表您進行投票。

¹ Please insert total number of shares held in the relevant sub-fund. If you hold shares in more than one sub-fund, please list all your holdings
on the reverse side of this form of proxy.
請填入持有之相關子基金之總股數。若您持有超過一子基金之股份，請於本委託書表格背面明列您所有之持股。

² If the boxes are left blank, the proxy will be considered as giving a general mandate to the Chairman of the Meeting to vote on the
resolutions.
如該欄位留白，則本委託書將被視為就決議事項全權委託大會主席進行投票表決。

(註：本中譯文僅供參考，如與原文有異，應以原文為主)

NN (L)

Société d'investissement à capital variable
Registered office: 80, Route d'Esch, L-1470 Luxembourg
Grand-Duchy of Luxembourg
R.C.S. Luxembourg, B 44 873
(the « Company »)

NN (L)

可變資本投資公司
登記住址：80, Route d'Esch, L-1470 Luxembourg
盧森堡大公國
盧森堡商業和公司註冊處號碼：B 44 873
(下稱「本公司」)

Notice is hereby given that the:

茲通知：

ANNUAL GENERAL MEETING

年度股東大會

of **NN (L)** will be held at 2, rue Gerhard Mercator, L-1014 Luxembourg, Grand Duchy of Luxembourg on 23 January 2020 at 2.00 p.m. with the following Agenda

NN (L)將於 2020 年 1 月 23 日星期四下午 2 時整在登記住址：2, rue Gerhard Mercator, L-1014 Luxembourg, 盧森堡大公國舉行，以討論下列議程事項：

1. **Presentation of the report of the independent auditor;**
提出獨立稽核之報告
2. **Approval of the annual accounts for the financial year ended 30 September 2019;**
承認截至 2019 年 9 月 30 日會計年度止之年度會計帳目
3. **Allocation of the result for the financial year ended 30 September 2019;**
分配截至 2019 年 9 月 30 日會計年度止之財務年度成果
4. **Discharge to the directors for the execution of their mandates during the financial year ended 30 September 2019;**
解除董事截至 2019 年 9 月 30 日會計年度止之期間內所執行委任事項之責任
5. **Approval of director fees;**
承認董事費
6. **Statutory appointments: resignation(s) and/or appointment(s).**
法定指派：含辭任及/或委任

Registered shareholders will be admitted upon proof of their identity, provided they inform the Board of Directors of their intention to attend the meeting at least five clear days prior to the meeting
記名股東於證明其身分後將獲許與會，惟其至少應於會前 5 日通知董事會其參加之意願。

Further information can be obtained from the Legal Department of NN Investment Partners B.V., email address: Secretariat.Luxfunds@nnip.com

其他資訊可向 NN Investment Partners B.V. 之法務部 email: Secretariat.Luxfunds@nnip.com 取得

The Board of Directors of NN (L)
NN (L) 董事會



**NN investment
partners**

NN (L)

Société d'investissement à capital variable

Registered office: 80, Route d'Esch, L-1470 Luxembourg

Grand-Duchy of Luxembourg

R.C.S. Luxembourg: B 44 873

(the « **Company** »)

Notice is hereby given that the:

Annual General Meeting of Shareholders

of **NN (L)** will be held at 2 rue Gerhard Mercator, L-1014 Luxembourg, Grand Duchy of Luxembourg on 23 January 2020 at 2.00 p.m. with the following Agenda:

Agenda

1. Presentation of the reports of the board of directors and of the independent auditor;
2. Approval of the annual accounts for the financial year ended 30 September 2019;
3. Allocation of the result for the financial year ended 30 September 2019;
4. Discharge to the directors for the execution of their mandates during the financial year ended 30 September 2019;
5. Approval of director fees;
6. Statutory appointments: resignation(s) and/or mandate(s) renewal;

Registered shareholders will be admitted upon proof of their identity, provided they inform the Board of Directors of their intention to attend the meeting at least five clear days prior to the meeting.

Further information can be obtained from the Legal Department of NN Investment Partners B.V., email address: Secretariat.Luxfunds@nnip.com.

The Board of Directors of NN (L)

NN (L)
Société d'investissement à capital variable
 Registered office: 80, Route d'Esch, L-1470 Luxembourg
 Grand-Duchy of Luxembourg
 R.C.S. Luxembourg: B 44 873

**FORM OF PROXY FOR USE AT THE ANNUAL GENERAL MEETING OF SHAREHOLDERS
 OF NN (L) TO BE HELD ON 23 JANUARY 2020 AT 2.00 P.M. LUXEMBOURG TIME**

PLEASE COMPLETE IN BLOCK CAPITAL LETTERS

I/We _____ First Name(s) _____ Last Name _____ Account Number _____
 First holder: _____
 Second holder: _____
 (if applicable) _____

(IF THERE ARE MORE THAN TWO JOINT SHAREHOLDERS, ATTACH THE OTHER NAMES IN FULL)

holder(s) of _____ (number of) shares¹ of sub-fund _____ of NN (L) (the "Company") hereby appoint(s) the Chairman of the Annual General Meeting of Shareholders (the "Meeting") of the Company or
 (insert name of representative:) _____

as my/our proxy to vote for me/us and on my/our behalf on the resolutions on the agenda of the Meeting to be held at 2 rue Gerhard Mercator, L-1014 Luxembourg, Grand Duchy of Luxembourg on 23 January 2020 at 2.00 p.m. (Luxembourg time).

If you have appointed the Chairman as your proxy, please indicate with an 'X' in the boxes below how you wish your votes to be cast on each of the resolutions on the agenda of the Meeting². If you have appointed another representative, he or she will be entitled to attend the Meeting and to vote on your behalf according to your instructions on the resolutions on the agenda of the Meeting and on any other business as may properly come before the Meeting.

AGENDA	For	Against	Abstain
1. Presentation of the reports of the board of directors and of the independent auditor			
2. Approval of the annual accounts for the financial year ended 30 September 2019			
3. Allocation of the result for the financial year ended 30 September 2019			
4. Discharge to the directors for the execution of their mandates during the financial year ended 30 September 2019			
5. Approval of director fees			
6. Statutory appointments: resignation(s) and/or mandate(s) renewal			

Date: _____

Signature(s): _____

Without specific instruction in writing to the Legal Department (see below for contact details), any valid proxy which was returned for attendance at the Meeting will remain valid in case of another shareholders' meeting of the Company with the same agenda (referred to as "Adjourned General Meeting") if the conditions for its validity are still met at the Adjourned General Meeting. A valid new proxy returned on time for the Adjourned General Meeting shall be deemed to constitute a revocation of any proxy returned with respect to the Meeting.

Proxy form to return to the Legal Department of NN Investment Partners B.V, Schenkade 65, The Hague 2595 AS, The Netherlands no later than by 20 January 2020, 05:00 p.m. Luxembourg time, by email (email address: Secretariat.Luxfunds@nnip.com), followed by the original by regular mail.

¹ Please insert total number of shares held in the relevant sub-fund. If you hold shares in more than one sub-fund, please list all your holdings on the reverse side of this form of proxy.

² If the boxes are left blank, the proxy will be considered as giving a general mandate to the Chairman of the Meeting to vote on the resolutions.