

駿利亨德森證券投資顧問股份有限公司 函

聯絡電話:(02)2171-1683

受文者：上海商業儲蓄銀行股份有限公司

發文日期：中華民國 108 年 2 月 22 日

發文字號：駿顧字第 20190013 號



主旨：釐清本公司總代理之駿利亨德森遠見基金提及歐洲經濟區之投資政策

附件：駿利亨德森遠見基金股東通知書及其中譯文

說明：

- 一、於 2017 年 3 月 29 日，英國政府正式通知其預計脫離歐洲聯盟（下稱「歐盟」）。根據相關歐盟條約之規定，英國最早可在 2019 年 3 月 29 日脫離歐盟。有鑒於英國可能在 2019 年 3 月 29 日或其後不再為歐洲經濟區（「EEA」）之會員國，境外基金將於公開說明書中就其投資政策中提及歐洲經濟區之相關基金為下述釐清（變動以粗體顯示），相關基金則將繼續以相同方式進行管理。

| 基金 | 投資政策 |
|--------------------|--|
| 駿利亨德森遠見基金-泛歐地產股票基金 | 「 泛歐地產股票基金 」的投資目標是投資至少 75% 的全部資產值於受規管之市場中已上市或交易，從擁有、管理及/或開發歐洲不動產獲取收入的主要部分，且其註冊辦事處設於歐洲經濟區或英國（如其已非歐洲經濟區之一部份）設有註冊辦事處的公司或不動產投資信託（或與其相當者）的掛牌權益證券，以此來尋求長期的資本增值。 |
| 駿利亨德森遠見基金-泛歐股票基金 | 「 泛歐股票基金 」的投資目標是將其至少 75% 的全部資產投資於在歐洲經濟區或英國（如其已非歐洲經濟區之一部份）設有註冊辦事處之歐洲公司的權益證券，以尋求長期的資本增值。 |
| 駿利亨德森遠見基金-泛歐小型公司基金 | 「 泛歐小型公司基金 」的投資目標是將其至少 75% 的全部資產投資於資本總市值名列相關市場最末 25% 的歐洲公司之權益證券且這些公司在歐洲經濟區或英國（如其已非歐洲經濟區之一部份）設有註冊辦事處，以尋求長期的資本增值。 |

截至本通知發布之日，目前歐洲經濟區國家為：

奧地利、比利時、保加利亞、克羅埃西亞、塞浦路斯共和國、捷克共和國、丹麥、愛沙尼亞、芬蘭、法國、德國、希臘、匈牙利、冰島、愛爾蘭、義大利、拉脫維亞、列支敦斯登、立陶宛、盧森堡、馬爾他、荷蘭、挪威、波蘭、葡萄牙、羅馬尼亞、斯洛伐克、斯洛維尼亞、西班牙、瑞典及英國。

- 二、上述變更將於 2019 年 3 月 29 日生效，相關細節請詳見股東通知書及其中譯文。

駿利亨德森證券投資顧問股份有限公司





(中文節譯文/摘要僅供參考,與原文相較可能不盡完整或有歧異,如有疑義應以英文本為準。)

Janus Henderson

INVESTORS

(節譯文)

凡本通知使用但未另行定義之詞彙均應與2018年9月之公開說明書(下稱「公開說明書」)所定義者具相同意涵。董事對於本信函之正確性承擔責任。

本文件乃重要文件並需您即刻留意。若您對於採取何種行動有所疑問,請立即諮詢您的證券經紀商、銀行經理、律師、會計師、關係經理或其他專業顧問。

駿利亨德森遠見基金(下稱「本公司」)

可變資本投資公司(下稱「SICAV」)

盧森堡

商業登記編號 B 22847

2019年2月22日

釐清提及歐洲經濟區(下稱「EEA」)之相關基金之投資政策

親愛的股東,

謹致函通知您有關本公司部分基金將進行之變動。

於2017年3月29日,英國政府正式通知其預計脫離歐洲聯盟(下稱「歐盟」)。根據相關歐盟條約之規定,英國最早可在2019年3月29日脫離歐盟。

有鑒於英國可能在2019年3月29日或其後不再為歐洲經濟區(「EEA」)之會員國,茲將於公開說明書中就其投資政策中提及到歐洲經濟區之相關基金為下述釐清。此等變動(以粗體顯示)將於2019年3月29日生效。相關基金則將繼續以相同方式進行管理。

| 基金 | 投資政策 |
|--------------------|---|
| 駿利亨德森遠見基金-泛歐地產股票基金 | 「泛歐地產股票基金」的投資目標是投資至少75%的全部資產值於受規管之市場中已上市或交易,從擁有、管理及/或開發歐洲不動產獲取收入的主要部分,且其註冊辦事處設於歐洲經濟區或英國(如其已非歐洲經濟區之一部份)設有註冊辦事處的公司或不動產投資信託(或與其相當者)的掛牌權益證券,以此來尋求長期的資本增值。 |

駿利亨德森遠見基金

註冊辦公室: 2 Rue de Bitbourg, L-1273 Luxembourg, Grand Duchy of Luxembourg

janushenderson.com

可變資本投資公司(SICAV), 盧森堡商業登記編號 B 22847

本公司得為互相保護及提升客戶服務之目的記錄通話內容。

(中文節譯文／摘要僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。)

Janus Henderson

INVESTORS

| | |
|--------------------|---|
| 駿利亨德森遠見基金-泛歐股票基金 | 「泛歐股票基金」的投資目標是將其至少75%的全部資產投資於在歐洲經濟區或英國(如其已非歐洲經濟區之一部份)設有註冊辦事處之歐洲公司的權益證券，以尋求長期的資本增值。 |
| 駿利亨德森遠見基金-泛歐小型公司基金 | 「泛歐小型公司基金」的投資目標是將其至少75%的全部資產投資於資本總市值名列相關市場最末25%的歐洲公司之權益證券且這些公司在歐洲經濟區或英國(如其已非歐洲經濟區之一部份)設有註冊辦事處，以尋求長期的資本增值。 |

截至本通知發布之日，目前歐洲經濟區國家為：

奧地利、比利時、保加利亞、克羅埃西亞、塞浦路斯共和國、捷克共和國、丹麥、愛沙尼亞、芬蘭、法國、德國、希臘、匈牙利、冰島、愛爾蘭、義大利、拉脫維亞、列支敦斯登、立陶宛、盧森堡、馬爾他、荷蘭、挪威、波蘭、葡萄牙、羅馬尼亞、斯洛伐克、斯洛維尼亞、西班牙、瑞典及英國。

轉換您的股份

您得在截至 **2019年3月28日** 的任何日期，免費將您在相關基金中的股份轉換為本公司任何其他基金的股份，惟前提乃該等投資係被允許的，包括於您所在的國家。您指定的基金股份將根據公開說明書的規定以適用於該基金的股價購買。

買回您的股份

您得依公開說明書之規定在截至 **2019年3月28日** 的任何日期免費買回相關基金的股份。

任何轉換或買回您股份的指示應以電話 (352) 2605 9601 或傳真 (352) 2460 9937 方式傳送至下述地址的登記人及服務代理。

RBC Investor Services Bank S.A,
Registrar and Transfer Agent,
14, Porte de France,
L-4360 Esch-sur-Alzette,
Grand Duchy of Luxembourg

轉換或買回您的股份可能會影響您的稅務狀況。因此，您應該向專業顧問尋求適用於您所在國家、住所或居所的任何稅務指引。

請注意，董事有權酌情適用稀釋調整，以在董事認為更能公平反映投資價值之適當情況下，保障其餘股東之利益。任何稀釋調整將依據公開說明書之規定適用，並可能降低您出售股份所得的收益。

(中文節譯文／摘要僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。)

Janus Henderson INVESTORS

若您選擇買回您在基金中的股份，本公司將依據公開說明書的規定向您支付買回所得款項，惟若您因本通知所載之變更而進行買回時，本公司將不會收取任何費用（除上述情況外）。

若本公司尚未持有相關文件，本公司可能會要求提供文件以驗證您的身份。在收到此類驗證之前，我們可能會遞延付款。我們通常會按照我們紀錄上的常規指示付款。如果您變更了銀行帳戶但未通知我們，請以書面方式透過上述地址向 RBC Investor Services Bank S.A. 確認您的最新詳細資訊。

如果您選擇將您的股份轉換為其他基金的持股，則本公司將依據公開說明書的規定，按適用於該基金的股價，運用所得款項以購買您所指定之基金股份。

如果您對於擬採取之行動有任何疑問，請諮詢您的股票經紀商、銀行經理、律師、會計師、客戶經理或其他專業顧問。

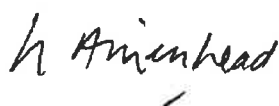
如何聯繫我們

如果您有任何疑問，請使用上述詳細信息聯繫登記人及股務代理 RBC Investor Services Bank S.A.。投資者可自註冊辦事處及網站 www.janushenderson.com 免費獲取公開說明書、主要投資人資訊文件 (KIID)、章程，及公司的年度及半年度報告。

請注意，就您的投資與您通訊的駿利亨德森集團子公司及/或受委託之第三人可能會依隱私權政策為了培訓、品質及控管目的，以及符合法定記錄保存義務之需記錄電話通話與其他通訊內容。

(餘略)

誠摯地，



Les Aitkenhead
董事長

THE TERMS USED BUT NOT OTHERWISE DEFINED IN THIS NOTICE SHALL HAVE THE SAME MEANINGS AS THOSE DEFINED IN THE PROSPECTUS DATED SEPTEMBER 2018 (THE "PROSPECTUS"). THE DIRECTORS ACCEPT RESPONSIBILITY FOR THE ACCURACY OF THIS LETTER.

THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. IF YOU ARE IN ANY DOUBT ABOUT THE ACTION TO BE TAKEN PLEASE CONSULT YOUR STOCKBROKER, BANK MANAGER, SOLICITOR, ACCOUNTANT, RELATIONSHIP MANAGER OR OTHER PROFESSIONAL ADVISER IMMEDIATELY.

JANUS HENDERSON HORIZON FUND (the "Company")
Société d'Investissement à Capital Variable (SICAV)
LUXEMBOURG
RCS B 22847

22 February 2019

Clarification to the Investment Policy for those relevant Funds that make reference to the European Economic Area ("EEA")

Dear Shareholder,

We are writing to inform you of changes being made to certain Funds of the Company.

On 29 March 2017, the United Kingdom Government gave formal notice of its intention to leave the European Union ("EU"). Under the terms of the relevant EU treaty, the earliest the United Kingdom can leave the EU will be 29 March 2019.

Taking into consideration the possibility that the United Kingdom may cease to be a member state of the European Economic Area ("EEA") on or after 29 March 2019, the following clarifications will be made to the Prospectus in respect to those relevant Funds that reference the EEA in their Investment Policy. The changes (highlighted below in bold) will be effective from 29 March 2019. The relevant Funds will continue to be managed in the same way.

| Fund | Investment Policy |
|--|--|
| Janus Henderson Horizon Fund - Pan European Property Equities Fund | <i>The investment objective of the Pan European Property Equities Fund is to seek long-term capital appreciation by investing at least 75% of its total assets in quoted equity securities of companies or Real Estate Investment Trusts (or their equivalents) having their registered offices in the EEA or United Kingdom if not part of the EEA and listed or traded on a regulated market, which derive the main part of their revenue from the ownership, management and/or development of real estate in Europe.</i> |
| Janus Henderson Horizon Fund - Pan European Equity Fund | <i>The investment objective of the Pan European Equity Fund is to seek long-term capital appreciation by investing at least 75% of its total assets in equity securities of companies having their registered office in the EEA or United Kingdom if not part of the EEA.</i> |

Janus Henderson Horizon Fund

Registered Office: 2 Rue de Bitbourg, L-1273 Luxembourg, Grand Duchy of Luxembourg
janushenderson.com

| | |
|---|--|
| <p>Janus Henderson Horizon Fund - Pan European Smaller Companies Fund</p> | <p><i>The investment objective of the Pan European Smaller Companies Fund is to seek long-term capital appreciation by investing at least 75% of its total assets in equity securities of companies having their registered offices in the EEA or United Kingdom if not part of the EEA which will generally fall within the bottom 25% of their relevant market by way of market capitalisation.</i></p> |
| <p>Janus Henderson Horizon Fund - European Growth Fund</p> | <p><i>The investment objective of the European Growth Fund is to achieve long term capital growth principally through investment in the equity by investing at least 80% of its total assets in equities of companies located or listed in Europe (excluding the United Kingdom) or, in the opinion of the Investment Manager, deriving a preponderant part of their income from Europe (excluding the United Kingdom).</i></p> <p>The Fund invests at least 75% of its total assets in equity securities of companies having their registered office in the EEA.</p> |

As at the date of this notice, the current EEA countries are:

Austria, Belgium, Bulgaria, Croatia, Republic of Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Liechtenstein, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden and the **UK**.

What action is required?

If you agree with the proposed changes set out in this notice, then no action is required from you. If you do not agree with the proposed changes, the following options are available to you: -

Switch your Shares

You may switch your Shares in the relevant Fund(s) into shares of any other Fund of the Company free of charge on any date up to **28 March 2019**, provided that such an investment is permitted, including in your country. The shares in the Fund(s) you specify will be purchased at the share price applicable to that Fund in accordance with the provisions of the Prospectus.

Redeem your Shares

You may redeem your Shares in the relevant Fund(s) free of charge on any date up to **28 March 2019** in accordance with the provisions of the Prospectus.

Any instruction to switch or redeem your Shares should be sent to the Registrar and Transfer Agent at the address indicated below, by telephone on (352) 2605 9601 or by fax on (352) 2460 9937.

RBC Investor Services Bank S.A,
 Registrar and Transfer Agent,
 14, Porte de France,
 L-4360 Esch-sur-Alzette,
 Grand Duchy of Luxembourg

A switch or redemption of your Shares may affect your tax position. You should therefore seek guidance from a professional adviser on any taxes that apply in the country of your respective citizenship, domicile or residence.

Please note that the Directors have discretion to apply a dilution adjustment to reflect more fairly the value of the investments in circumstances the Directors consider appropriate, with the view to protecting the interests of remaining Shareholders. Any dilution adjustment will be applied in accordance with the provisions of the Prospectus and may lower the proceeds that you receive from the sale of your Shares.

If you choose to redeem your Shares in the Fund, we will pay the redemption proceeds to you in accordance with the provisions of the Prospectus, except that we will not impose any fee (except as described above) if you redeem as a result of the changes described in this notice.

We may require documentation to verify your identity if we do not already hold it. We may delay payment until we receive such verification. We will normally make payment in accordance with the standing instructions we hold on file. If you have changed your bank account and not informed us, please confirm your up-to-date details in writing to RBC Investor Services Bank S.A. at the address provided above.

If you choose to switch your Shares to a holding in a different Fund, then we will use the proceeds to purchase shares in the Fund(s) you specify at the share price applicable to that Fund in accordance with the provisions of the Prospectus.

If you are in any doubt about the action to be taken, please seek advice from your stockbroker, bank manager, solicitor, accountant, relationship manager or other professional adviser.

How to contact us

If you have any questions, please contact the Registrar and Transfer Agent, RBC Investor Services Bank S.A., using the details above. Investors may obtain the Prospectus, the Key Investor Information Documents ("KIID"), the Articles, as well as the annual and semi-annual reports of the Company, free of charge from the registered office and at www.janushenderson.com.

For Singapore investors, Janus Henderson Investors (Singapore) Limited, Level 34 - Unit 03-04, 138 Market Street, CapitaGreen Singapore 048946 is the Singapore representative. The Prospectus, the Product Highlights Sheet ("PHS"), the Articles, as well as the annual and semi-annual reports of the Company, may be obtained free of charge from the Singapore representative.

For Swiss investors, BNP Paribas Securities Services, Paris, succursale de Zurich, Selnaustrasse 16, 8002 Zurich is the Swiss representative and paying agent of the Company. The Prospectus, the KIIDs, the Articles, as well as the annual and semi-annual reports of the Company, may be obtained free of charge from the Swiss representative and paying agent.

For German investors, Marccard, Stein & Co AG, Ballindamm 36, 20095 Hamburg is the Paying and Information Agent, where the relevant prospectuses and key investor information, the Articles of Association and the annual and semi-annual reports are available free of charge.

Please note that subsidiaries and/or delegated third parties of the Janus Henderson Group that you communicate with about your investment may record telephone calls and other communications for training, quality and monitoring purposes and to meet regulatory record keeping obligations in accordance with the Privacy Policy.

Yours faithfully,



Les Aitkenhead
Chairman

