

檔 號：
保存年限：

柏瑞證券投資信託股份有限公司 函

地址：台北市民權東路二段 144 號 10 樓
聯絡方式：02-25167883

受文者：如行文單位

發文日期：中華民國 107 年 12 月 20 日
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附件：無



主旨：謹通知本公司總代理之柏瑞環球基金系列(下稱本基金)修訂公開說明書一事，請查知。

說明：

- 一、本基金修訂公開說明書，本次修正內容主要包括：(一)任命基金經理新任董事、(二)子基金投資經理 PineBridge Investments Singapore Limited 地址之變更、(三)因應 2016 年 6 月 8 日的歐洲議會及理事會規例(EU) 2016/1011「基準法規」生效所需之揭露、以及(四)德國投資稅法修正案。基金經理認為，該等修訂並不會使本基金投資人之權益受到重大影響，詳細內容敬請參閱附件基金經理於 2018 年 12 月 18 日寄發致單位持有人之通知信。
- 二、最新版之公開說明書可於境外基金資訊觀測站 (<http://announce.fundclear.com.tw>) 中下載或可向本公司索取。

附件：致單位持有人通知信(中英文版)

正本：臺灣中小企業銀行信託部、合作金庫銀行信託部、日盛商業銀行個人理財處、遠東國際商業銀行信託部、陽信銀行信託部、兆豐國際商業銀行信託部、星展(台灣)銀行信託部、渣打國際商業銀行信託部、第一商業銀行信託處、臺灣銀行信託部、安泰商業銀行財富管理部、聯邦銀行財富管理部、玉山商業銀行財富管理部、華泰商業銀行信託部、彰化銀行信託處、臺灣新光銀行信託部、國泰世華銀行信託部、台北富邦銀行信託部、永豐銀行理財商品部、匯豐(台灣)銀行信託及投資作業部、上海商業儲蓄銀行、板信商銀理財事業部、元大商業銀行股份有限公司信託部、凱基商業銀行信託處、華南商業銀行信託部、瑞興銀行信託部、京城銀行信託部、台中商業銀行信託部、三信商業銀行信託部、高雄銀行信託部、日盛證券股份有限公司、日盛證券股份有限公司財富管理部、凱基證券股份有限公司、兆豐證券股份有限公司、統一綜合證券股份有限公司、元大證券股份有限公司、鉅亨網證券投資顧問股份有限公司、元富證券股份有限公司、群益金鼎證券股份有限公司、華南永昌證合證券股份有限公司、基富通證券股份有限公司、先鋒投資顧問股份有限公司、永豐金證券股份有限公司、富邦證券股份有限公司、容海國際證券投資顧問股份有限公司、萬寶證券投資顧問股份有限公司、台新國際商業銀行信託部、中國信託商業銀行信託部、核聚證券投資顧問股份有限公司、南山人壽保險股份有限公司、富邦人壽保險股份有限公司、遠雄人壽保險股份有限公司、安聯人壽保險股份有限公司、中國人壽保險股份有限公司、全球人壽保險股份有限公司、宏泰人壽保險股份有限公司、法國巴黎人壽保險股份有限公司、合作金庫人壽保險股份有限公司、台灣人壽保險股份有限公司、國泰人壽保險股份有限公司、安達人壽保險股份有限公司

副本：遠東國際商業銀個金產品行銷部、陽信銀行財富管理部、星展(台灣)商業銀行財富管理部、華泰商業銀行財富管理部、臺灣新光銀行財富管理部、國泰世華銀行財富管理部、台北富邦銀行總行投資商品處、匯豐(台灣)銀行財富管理部、瑞興銀行財管部、三信商業銀行財富管理部、京城銀行財富管理部

代理總經理 董俊男

2018 年 12 月 18 日

此乃重要函件，務請閣下即時處理。如閣下對應採取的行動有任何疑問，應尋求閣下的股票經紀、銀行經理、律師、稅務顧問、會計師或其他獨立財務顧問之意見。如閣下已出售或轉讓閣下於本基金的相關子基金的所有單位，請立即將本函件交予經手買賣或轉讓的股票經紀、銀行或其他代理，以便儘快轉交買方或承讓人。基金經理 PineBridge Investments Ireland Limited 的董事對本函件所載資料負責。據各董事所知及所信（各董事已合理審慎地確保如此），本函件所載資料均與事實相符，並未遺漏任何可能影響該等資料涵義之內容，各董事並承擔相應之責任。

有關：柏瑞環球基金（「本基金」）及其子基金（各自及統稱為「子基金」）一對本基金作出建議改動的通知

致各單位持有人：

吾等為 PineBridge Investments Ireland Limited（「基金經理」），謹此致函通知閣下，本基金的發行章程（「發行章程」）將作出多項改動。對銷售文件作出的建議改動（「建議改動」）於本函件附錄一中概述。

閣下現獲通知本函件所載的有關建議改動。除本函件附錄另有訂明外，對銷售文件作出的改動預期將即時生效（「生效日期」），且在經更新的相關文件中載列。經更新的相關文件將由基金經理或（就非香港居民單位持有人而言）由本基金的行政代理人 State Street Fund Services (Ireland) Limited，以及（就香港居民單位持有人而言）由本基金的香港代表柏瑞投資亞洲有限公司的辦事處免費提供。

基金經理認為，本函件所述的建議改動符合本基金單位持有人的最佳利益。本函件所載之改動並不會使單位持有人的利益或權利受到重大影響。單位持有人如因本函件所載之建議改動而欲不再投資於本基金或某子基金，可根據日期為 2018 年 9 月 24 日之現有發行章程列明的慣常方式選擇贖回或轉換其持有之單位。

除本函件另有列明外，建議改動不會使子基金的費用架構有任何更改。

除另有訂明外，本函件所用詞彙與發行章程中所界定者具相同涵義。

如閣下對本函件有任何疑問，請聯絡 PineBridge Investments Ireland Limited 的 Linda O' Leary 女士，地址為 78 Sir John Rogerson's Quay, Dublin 2, Ireland，電話為 +353 1 697 3919 或電郵至 Linda.OLeary@pinebridge.com。居於香港的單位持有人應就本函件的任何疑問致電 +852 3970 3938 聯絡香港代表辦事處，地址為香港皇后大道東 1 號太古廣場三座 31 樓。新加坡的單位持有人應就本函件的任何疑問致電 +65 6571 9360 聯絡新加坡代表辦事處，地址為 One George Street, 1 George Street, Unit 21-06, Singapore 049145。

此致

代表

PineBridge Investments Ireland Limited

PineBridge Investments Ireland Limited

78 Sir John Rogerson's Quay • Dublin 2 • Ireland
Incorporated in Ireland: Registration No. 145670

Directors: Eimear Cowhey, Linda O'Leary, Adrian Waters, Kamala Anantharam (USA), Roman Hackelsberger (Germany), Michael Karpik (USA)
PineBridge Investments Ireland Limited is regulated by the Central Bank of Ireland

附錄一

任命基金經理新董事

Michael John Karpik 已獲委任為基金經理董事會的新成員。發行章程內的基金經理董事名單已因此作出更新，並已加入新董事的簡介，以反映有關變動。

PineBridge Investments Singapore Limited 地址變更

子基金投資經理之機構 PineBridge Investments Singapore Limited 的地址已作變更，發行章程將作更改以反映更新地址。

2016 年 6 月 8 日的歐洲議會及理事會規例(EU) 2016/1011 (「基準法規」)

隨著基準法規於 2018 年 1 月 1 日起生效，本基金發行章程內須清楚列明子基金的基準是否由落入基準法規第 36 條提述的名冊的管理人所提供。發行章程已因此作出有關披露。

德國投資稅法修正案

隨著德國投資稅法修正案於 2018 年 1 月 1 日起生效，集合投資計劃如投資 (i) 至少達其資產淨值 51% 於股票或股本相關證券 (「**股票基金**」) 及 / 或 (ii) 至少達其資產淨值 25% 於股票或股本相關證券 (「**混合基金**」)，則會落入新的部分豁免制度。發行章程將就有關改動作出更新，以列明分別會視為股票基金及混合基金的子基金。

18 December 2018

This letter is important and requires your immediate attention. If you are in doubt as to the action you should take, you should seek advice from your stockbroker, bank manager, solicitor, tax adviser, accountant or other independent financial adviser. If you have sold or transferred all of your units in the relevant Sub-Funds of the Fund, please pass this letter at once to the stockbroker, bank or other agent through whom the sale or transfer was effected, for transmission to the purchaser or transferee as soon as possible. The Directors of the Manager, PineBridge Investments Ireland Limited, are the persons responsible for the information contained in this letter. To the best of the knowledge and belief of the Directors (who have taken all reasonable care to ensure that such is the case), the information contained in this letter is in accordance with the facts and does not omit anything likely to affect the import of such information. The Directors accept responsibility accordingly.

RE: PINEBRIDGE GLOBAL FUNDS (THE "FUND") AND ITS SUB-FUNDS (EACH A "SUB-FUND" AND TOGETHER THE "SUB-FUNDS") - NOTIFICATION OF PROPOSED CHANGES TO THE FUND

Dear Unitholder,

We, PineBridge Investments Ireland Limited, (the "Manager") are writing to notify you of a number of changes that will be made to the prospectus of the Fund (the "Prospectus"). The proposed changes being made to the Prospectus (the "Proposed Changes") are summarised in Appendix 1 to this letter.

You are hereby notified of these Proposed Changes pursuant to the terms of this letter. Unless otherwise stated in the appendix to this letter, changes to the Prospectus are expected to become effective immediately (the "Effective Date") and shall be contained in updated documentation which are available, free of charge, from the Manager or the Fund's administrative agent, State Street Fund Services (Ireland) Limited (for non-Hong Kong resident Unitholders), and from the offices of PineBridge Investments Asia Limited, the Fund's Hong Kong representative (for Hong Kong resident Unitholders).

In the opinion of the Manager, the Proposed Changes described herein are in the best interests of the Unitholders of the Fund. None of the changes as described herein will materially prejudice the interests or rights of the Unitholders. Unitholders who no longer wish to invest in the Fund or a particular Sub-Fund due to the Proposed Changes described herein may redeem or switch their unitholding in the usual manner as provided in the current Prospectus dated 24 September 2018.

Save as otherwise specified in this letter, there will be no change in the fee structure of the Sub-Funds as a result of the Proposed Changes.

All capitalised terms used but not defined herein shall have the meaning ascribed to them in the Prospectus.

If you have any queries regarding this letter please contact Ms Linda O'Leary at PineBridge Investments Ireland Limited, 78 Sir John Rogerson's Quay, Dublin 2, Ireland on +353 1 697 3919, or by email to Linda.OLeary@pinebridge.com. Unitholders resident in Hong Kong should address any queries regarding this letter to the offices of the Hong Kong representative at Level 31, Three Pacific Place, 1 Queen's Road East, Hong Kong on +852 3970 3938. Unitholders in Singapore should address any queries regarding this letter to the offices of the Singapore representative at One George Street, 1 George Street, Unit 21-06, Singapore 049145 on +65 6571 9360.

Yours faithfully,



For and on behalf of
PineBridge Investments Ireland Limited

PineBridge Investments Ireland Limited

78 Sir John Rogerson's Quay • Dublin 2 • Ireland
Incorporated in Ireland; Registration No. 145670

Directors: Eimear Cowhey, Linda O'Leary, Adrian Waters, Kamala Anantharam (USA), Roman Hackelsberger (Germany), Michael Karpik (USA)
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APPENDIX 1

Appointment of a new Director of the Manager

Michael John Karpik has been appointed as a new member of the Manager's Board of Directors. Following this appointment, the list of directors of the Manager has been updated to reflect this change and also the biography of the new Director has been included in the Prospectus.

Change of address of PineBridge Investments Singapore Limited

The address of PineBridge Investments Singapore Limited, an entity acting as an investment manager of a Sub-Fund, has been changed and as such, the prospectus will be amended to reflect the new address.

Regulation (EU) 2016/1011 of the European Parliament and of the Council of 8 June 2016 (the "Benchmark Regulation")

Following the entry into effect of the Benchmark Regulation on 1 January 2018, clear and prominent information stating whether the benchmark used by the Fund's sub-funds is provided by an administrator included in the register referred to in Article 36 of the Benchmark Regulation shall be included in the Fund's Prospectus. Following this change, the relevant disclosure has been included in the Prospectus.

Reform of the German Investment Tax Act

Following the reform of the German Investment Tax Act which came into effect on 1 January 2018, a new partial exemption regime will apply to collective investment schemes investing (i) at least 51% of their Net Asset Value in equity or equity-related securities ("Equity Funds") and/or (ii) at least 25% of their Net Asset Value in equity or equity-related securities ("Mixed Funds"). Following this change, the Prospectus will be amended to list the sub-funds which shall be considered as Equity Funds and as Mixed Funds respectively.