

# 駿利亨德森證券投資顧問股份有限公司 函

聯絡電話：(02)2171-1686



受文者：上海商業儲蓄銀行股份有限公司

發文日期：中華民國 107 年 9 月 4 日

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主旨：2018 年駿利亨德森資產管理基金系列基金年度股東大會通知，詳如說明。

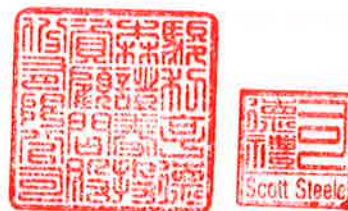
說明：

- 一、本公司總代理之駿利亨德森資產管理基金系列(「基金公司」)將於 2018 年 9 月 27 日下午 12 時(愛爾蘭時間)舉行年度股東大會，隨函檢附基金公司之年度股東大會通知函，請查照。
- 二、謹請 貴公司於收到該通知文件後，依台灣相關法令規定，將該訊息轉知 貴公司所屬之投資人並代表投資人投票。
- 三、如 貴公司未能親自出席年度股東大會，可利用附件英文版本之「年度大會委託書表格」代表投資人投票。填妥之英文版本「年度大會委託書表格」正本須於 2018 年 9 月 25 日下午 12 時(愛爾蘭時間)前，寄至 Janus Henderson Capital Funds plc, c/o Paragon Customer Communications, Evolution House, Choats Road, Dagenham, RM9 6BF, United Kingdom 或傳真至+44 (207) 184 9294；
- 四、煩請 貴公司轉知相關單位協助處理。

敬祝 商祺

附件：年度股東大會通知函、年度大會委託書表格(英文及其中譯文各一份)。

駿利亨德森證券投資顧問股份有限公司





駿利亨德森資產管理基金  
子基金間責任隔離之傘型基金  
(下稱「本公司」)

年度股東大會通知

謹通知本公司將為下列目的，於 2018 年 9 月 27 日(周四)愛爾蘭時間 下午 12 點，假 Arthur Cox, 10 Earlsfort Terrace, Dublin 2, D02 T380, Ireland 召開年度股東大會，以討論下列動議：

一般決議事項

1. 審核截至 2017 年 12 月 31 日止年度之董事及查核會計師報告與本公司帳目；
2. 審核本公司之事務。
3. 核准重新委任查核會計師。
4. 授權董事決定查核會計師之報酬。

承董事會命

簽名：



代表

Bradwell Limited

秘書處

註冊地址：10 Earlsfort Terrace  
Dublin 2  
D02 T380  
Ireland

( 請勿將台端之委託書寄至本地址。委託書應於委託書所規定之時間內寄至委託書所載明之地址 )

西元 2018 年 9 月 4 日

備註

有權參加前述年度股東大會及投票之各股東，有權委託代理人代表參加、發言及表決。公司得委任經授權之代表人代表參加、發言及表決。代理人或經授權之代表人毋須為本公司之股東。股東得將簽名後之委託書郵寄至 **Janus Henderson Capital Funds plc, c/o Paragon Customer Communications, Evolution House, Choats Road, Dagenham, RM9 6BF, United Kingdom** 或傳真至 **+44 (207) 184 9294**，至遲應於年度股東大會召開前 48 小時送達。委託書之填寫與擲回，並不影響股東親自參加年度股東大會並參與表決之權利。

**JANUS HENDERSON CAPITAL FUNDS PLC**  
**an umbrella fund with segregated liability between sub-funds**  
**(the "Company")**

**NOTICE OF ANNUAL GENERAL MEETING**


Notice is hereby given that the annual general meeting ("AGM") of the Company will be held at 12.00 p.m. (Irish time) on Thursday, 27 September 2018 at Arthur Cox, 10 Earlsfort Terrace, Dublin 2 D02 T380, Ireland for the following purposes:

**ORDINARY BUSINESS**

1. To receive and consider the reports of the directors and of the auditors and the accounts of the Company for the year ended 31 December 2017.
2. To review the Company's affairs.
3. To approve the re-appointment of the auditors.
4. To authorise the directors to fix the remuneration of the auditors.

BY ORDER OF THE BOARD

SIGNED:

  
\_\_\_\_\_  
For and on behalf of  
Bradwell Limited  
Secretary

Registered Office: 10 Earlsfort Terrace  
Dublin 2  
D02 T380  
Ireland

*(Do not return your Form of Proxy to this address. You should return your Form of Proxy to the address specified in the Form of Proxy within the time frames specified therein.)*

Dated: 4 September 2018

**NOTE:**

Every shareholder entitled to attend and vote at the above meeting is entitled to appoint a proxy to attend, speak and vote in his stead. A body corporate may appoint an authorised representative to attend, speak and vote on its behalf. A proxy or an authorised representative need not be a shareholder of the Company. Shareholders may return a signed copy of the proxy form, either by post to Janus Henderson Capital Funds plc, c/o Paragon Customer Communications, Evolution House, Choats Road, Dagenham, RM9 6BF, United Kingdom or by fax to +44 (207) 184 9294 to arrive no later than 48 hours before the time of the meeting. Completion and return of a proxy form will not preclude a shareholder from attending and voting in person at the AGM.

駿利亨德森資產管理基金  
子基金間責任隔離之傘型基金  
(下稱「本公司」)

年度大會委託書表格

請於本處列載  
台端之股東名  
稱與地址



本人/我們 \_\_\_\_\_  
址設 \_\_\_\_\_ 為本公司 \_\_\_\_\_ 股份  
之持有人且有表決權，茲委託 Kevin Murphy, Sarah Cunniff, Dara Harrington, David O' Shea,  
Siobhan McBean, Sarah O' Sullivan, Ronan Donohoe, Mark Murphy, Barbara Donegan, Amanda  
Afifi 或 \_\_\_\_\_，或會議主席 (視需要刪除) 之任一位為本人/我們之代  
理人/代表，代本人/我們於愛爾蘭時間2018年9月27日下午12點舉行之本公司年度大會中及  
任何相關延期會議中行使表決權 (包含於本公司任何董事未出席時選任一位已出席之股東  
(包含其本人) 擔任會議主席)。

請於此處簽  
名並填入日  
期



簽名 \_\_\_\_\_

名稱大寫 \_\_\_\_\_

日期：2018年 月 日

一般決議事項	贊成	不行使	反對
1. 審核截至2017年12月31日止之董事及查核會計師報告與本公司帳目。			
2. 核准重新委任查核會計師。			
3. 授權董事決定會計師之報酬。			

倘台端以本委託書贊成任何決議，請於上方「贊成」欄位下打「X」。倘台端以本委託書不行使表決權，請於上方「不行使」欄位下打「X」。倘台端以本委託書反對任何決議，請於上方「反對」欄位下打「X」。未選取者將由代理人以其認為適當之方式表決。

備註：

1. 除另有明示外，代理人將以其認為適當之方式表決。
2. 本委託書應於開會時間前48小時前送達下述地址始生效力。
3. 若為法人股東，本文件可蓋上該公司之機關印信或由授權之公司主管或代理人簽署。
4. 就須透過所代表之投資人始得表決之綜合/代名股東，請在“贊成”和/或“反對”欄位註明其代表之投資人總票數以說明台端希望代理人/代表如何表決。
5. 倘台端擬指定會議主席以外之人選為代理人，請填入其姓名和地址，並刪除“會議主席”。

6. 若本委託書經簽名擲回但未註明代理人應如何投票，代理人將自行判斷如何投票以及是否棄權。
7. 若為共同持有，則以先順位者親自或委託代理人所投之票為主，其他共同持有之投票則不予考量，順位之考量以共同持有成員登記簿上登記之姓名先後順序為準。
8. 請於表格塗改變更處簽上姓名縮寫。
9. 委託書應寄至 Janus Henderson Capital Funds plc, c/o Paragon Customer Communications, Evolution House, Choats Road, Dagenham, RM9 6BF, United Kingdom 或傳真至 +44 (207) 184 9294，至遲應於年度股東大會召開前 48 小時送達。委託書之填寫與擲回，並不影響股東親自參加年度股東大會並參與表決之權利。



**JANUS HENDERSON CAPITAL FUNDS PLC**  
**an umbrella fund with segregated liability between sub-funds**  
**(the "Company")**

**ANNUAL GENERAL MEETING FORM OF PROXY**

Please list  
your shareholder  
name and  
address here



I/We \_\_\_\_\_  
of \_\_\_\_\_

being a holder of \_\_\_\_\_ share(s) in the Company and entitled to vote, hereby appoint any one of Kevin Murphy, Sarah Cunniff, Dara Harrington, David O'Shea, Siobhan McBean, Sarah O'Sullivan, Ronan Donohoe, Mark Murphy, Barbara Donegan, Amanda Afifi, or failing them \_\_\_\_\_ or failing him/her \_\_\_\_\_ or failing him/her the Chairman of the meeting (delete as applicable) as our proxy to vote for us on our behalf, including, in the absence of any directors of the Company choosing a shareholder present, including himself or herself, to be Chairman of the annual general meeting, at the annual general meeting of the Company to be held at 12. p.m. (Irish time) on Thursday, 27 September 2018 and at any adjournment thereof.

Please sign  
and date  
here



Signed \_\_\_\_\_  
Name in block capitals \_\_\_\_\_

Dated this \_\_\_\_\_ day of \_\_\_\_\_ 2018

	<b>RESOLUTIONS</b> <b>Ordinary Business</b>	<b>FOR</b>	<b>ABSTAIN</b>	<b>AGAINST</b>
1.	To receive and consider the reports of the directors and of the auditors and the accounts of the Company for the year ended 31 December 2017.			
2.	To approve the re-appointment of the auditors.			
3.	To authorise the directors to fix the remuneration of the auditors.			

If you wish this form to be used *in favour of any Resolution*, please mark "X" in the box above under the heading "For". If you wish this form to be used *to abstain from any Resolution*, please mark "X" in the box above under the heading "Abstain". If you wish this form to be used *against any Resolution*, please mark "X" in the box above under the heading "Against". Otherwise, the Proxy will vote as he or she thinks fit.

**NOTES:**

1. Unless otherwise instructed, the proxy will vote as he or she thinks fit.
2. This instrument of proxy, to be valid, must be sent to arrive, or be lodged, at the address printed below not later than 48 hours before the time fixed for the meeting.
3. In the case of a corporate shareholder, this instrument may be either under its common seal or under the hand of an officer or attorney authorised in that behalf.
4. For omnibus/nominee shareholders, who without going to underlying investors do not have the authority to vote, please indicate how you wish your proxy/representative to vote by inserting the aggregate number of underlying investor votes "for" and/or "against" in the relevant box.
5. If you wish to appoint a proxy other than the Chairman of the meeting, please insert his/her name and address and delete "the Chairman of the meeting".
6. If this instrument is signed and returned without any indication of how the person appointed proxy shall vote, he/she will exercise his/her discretion as to how he/she votes and whether or not he/she abstains from voting.
7. In the case of joint holders, the vote of the senior who tenders a vote whether in person or by proxy, shall be accepted to the exclusion of the votes of the other joint holders and for this purpose seniority should be determined by the order in which the names stand in the register of shareholders in respect of the joint holding.
8. Any alterations made to this form must be initialled.
9. Shareholders may return a signed copy of the proxy form, to Janus Henderson Capital Funds plc, c/o Paragon Customer Communications, Evolution House, Choats Road, Dagenham, RM9 6BF, United Kingdom or by fax to +44 (207) 184 9294 to arrive no later than 48 hours before the time of the meeting. Completion and return of a proxy form will not preclude a shareholder from attending and voting in person at the annual general meeting.